

SEP 11 2002

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(Modified) PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

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Small Entity Status  
Statement

Total Number of Pages in This Submission

4

Application Number

10/020,540

Filing Date

October 30, 2001

First Named Inventor

Gusui Wu

Group Art Unit

1632

Examiner Name

Unassigned

Attorney Docket Number

0173.210US

TECH CENTER 1600/2800

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## ENCLOSURES (check all that apply)

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):  X PTO/SB/08A-B; References 19-20; Return Postcard.
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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**Remarks**

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Firm  
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Individual name

Christopher M. Holman, Reg. No. 40,021

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On SEPTEMBER 11, 2002

By Julie K. Mauser  
Julie K. Mauser

Attorney Docket No. 0173.210US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wu, et al.

Application No.: 10/020,540

Filed: October 30, 2001

For: **STRAWBERRY VEIN  
BANDING VIRUS  
(SVBV) PROMOTER**

Examiner: Unassigned

Art Unit: 1632

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR § 1.97 and § 1.98

Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-sb/08 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue there from.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to a first Office Action on the merits. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0990. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Christopher M. Holman  
Reg. No. 40,021

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Substitute for form 1449A-B/PTO

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY  
APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/020,540	RECEIVED
Filing Date	O t b r 30, 2001	SEP 13 2002
First Named Inventor	Wu, et al.	
Group Art Unit	1632	
Examiner Name	Unassigned	TECH CENTER 1600/2900
Attorney Docket Number	0173.210US	

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
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**FOREIGN PATENT DOCUMENTS**

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		Office	Number	Kind Code (if known)				

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	19	PETRZIK, Karel, et al., XP-001095164 "Strawberry Vein Banding Virus – Definitive Member of the Genus <i>Caulimovirus</i> ", <i>Virus Genes</i> , (1998) 16:303-305.	
	20	WANG, Yongzeng, et al., XP-008002062 "Identification of a Novel Plant Virus Promoter using a Potyvirus Infectious Clone", <i>Virus Genes</i> , (2000) 10:11-17.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.